



## Shannon Shen Partner

**Practice Areas:** Dispute Resolution, Private Equity/Venture Capital, Mergers & Acquisitions, Regulatory and Compliance

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### Professional Experience

Shannon Shen is a partner at Merits & Tree. Prior to joining Merits & Tree, Shannon worked in Jingtian & Gongcheng, Grandall Law Firm and Guantao Law Firm.

Shannon has extensive experience in civil and commercial dispute resolution and financial and securities compliance and specializes in crisis management, regulatory compliance, torts and criminal defense related to financial and commercial disputes, securities market violations. Shannon has provided legal services to business entities, capital market participants, including limited liability companies, joint stock companies, listed companies, directors, supervisors and senior management, shareholders of companies, beneficiary controlling owners of listed companies, public funds, private equity funds, financial institutions, securities market intermediaries, securities practitioners, etc.

### Education

LL.B., Nanjing Agricultural University

LL.M., Nanjing University

### Qualifications

Shannon has been admitted to practice in the People's Republic of China

### Working language

Chinese and English

### Representative Cases

#### 1. Financial and Commercial Dispute Resolution

- Represented a listed company's actual controller and affiliates in dealing with various civil and commercial disputes in relation to investment and financing in the whole process before, during and after IPO, which including but not limited to disputes in:
  - Equity transfer
  - VAM Agreement

- Securities asset management
- Acquisition of the listed companies
- Loan agreement
- Commercial factoring
- Guarantee Agreement
- Off-floor financing
- Represented a bank in trust litigations with multiple legal entities including asset management companies
- Represented an asset management company in securities investment fund transaction litigations with its investors
- Represented a company shareholder in disputes over liability of damaging the company's interests.

## **2. Regulation and Compliance**

- Provided legal services to a listed company and its beneficiary controlling owner in connection with misrepresentation by the Stock Exchange and CSRC
- Provided legal services for a finance company and its staffs on the investigation of market manipulation initiated by CSRC
- Provided legal services to a major shareholder of a listed company and its directors and supervisors appointed to the listed company in connection with the regulatory investigation of a listed company in relation to matters such as inflating revenue

## **3. Securities Infringement Dispute**

- Provided legal services for an accounting firm in a dispute involving securities misrepresentation liability

## **4. Financial Criminal Defense**

- Provided legal services for a finance company and its staffs on the investigation initiated by CSRC against multiple financial crimes such as market manipulation, fund raising fraud, illegal absorption of public deposits, Harboring, etc.
- Provided legal services for a listed company on disposition of property in a criminal case